



GOVERNMENT OF KERALA

Abstract

Local Self Government -Creation of District Project Management Units (DPMUs) in 14 districts, Project Implementation Unit (PIU) in 93 Urban Local Bodies (ULBs) under Kerala Solid Waste Management Project and sanction for posts of Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit (SPMU) of Kerala Solid Waste Management Project -sanctioned - Orders issued.

LOCAL SELF GOVERNMENT (W.M)DEPARTMENT

G.O.(Ms)No.230/2021/LSGD Dated,Thiruvananthapuram, 07/10/2021

Read 1 G.O(Ms.)No.20/2020/LSGD dated 23.01.2020

2 G.O.(Rt.) No.1420/2020/LSGD dated 29/07/2020

3 G.O(Ms) No.152/2020/LSGD dated.09/10/2020

4 GO(Ms) 160/2020/LSGD dated 21.10.2020.

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Lr No. 05/SM-KSWMP/2021 dt 23..01..21 and 10..02..21 from Project Director, Kerala Solid Waste Management Project .

ORDER

As per the Government Order read as 1st paper above Government have accorded sanction for the implementation of World Bank assisted Kerala Urban Service Delivery Project (KUSDP) with the objective of strengthening the institutional capacity of all Urban Local Bodies (ULBs) and to provide additional financial and technical support for waste management and sanitation sector. As per the Government Order read as 2nd paper above the Project- KUSDP has been renamed as Kerala Solid Waste Management Project (KSWMP).

2. As per the Government Order read as 3rd paper above Government have constituted a State Project Management Unit (SPMU) for KSWMP with following staff pattern with number of positions as indicated against each discipline:

- 1.Finance Management Expert-1
- 2.Procurement Expert- 1
- 3.Environment Expert- 1
- 4.Social Development & Gender Expert- 1
- 5.Urban Sanitation & Technical Expert- 1
- 6.Monitoring & Evaluation Expert- 1
- 7.IEC Expert- 1
- 8.Finance Assistant- 1
- 9.Video Editing & Graphics Design Expert- 1
- 10.Data Entry Operator cum Multi task person- 3

3. Government of India has requested the State Government to comply with the readiness criteria before proceeding to the negotiation of the project for availing financial assistance from the World Bank. As per the letters read as 5th paper above, the Project Director, KSWMP has reported that setting up of District Project Management Units (DPMUs) in 14 districts and constitution of Project Implementation Unit (PIU) in each ULBs are two readiness criteria to be fulfilled prior to the negotiation of the Project for availing the financial assistance for the project and submitted proposals for setting up of the DPMUs and PIUs. He has also requested for the creation of two more posts namely Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit (SPMU).

4. Government after having examined the matter in detail accord sanction for the Creation of District Project Management Units (DPMUs) in 14 districts, Project Implementation Unit (PIU) in 93 Urban Local Bodies (ULBs) under Kerala Solid Waste Management Project and sanction posts of Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit (SPMU) of Kerala Solid Waste Management Project as mentioned in the tables below. Sanction is also accorded for recruitment of experts in the DPMUs, PIUs and SPMU as sanctioned below by the Committee Constituted as per the Government orders read 3rd and 4th above for recruitment of experts in SPMU.

Table :1

District Project Management Unit (DPMU) in 14 districts under KSWMP

Sl No.	Name of Post	Consolidated pay	Qualification / Experience	No of posts	Location	Age limit as on 01/08/20
1	District Co-ordinator / Solid Waste Management (SWM) Engineer	Rs.55,000/-	MS/M.Tech in Civil/ environmental Engineering Minimum of 8 years of project management experience in urban infrastructure projects	14	DPMU	60
2	Financial Management Expert	Rs.55,000/-	Master's degree in business studies/ business administration with specialization in finance/ accounts, or a relevant professional qualification Min.7 years of experience in FM with knowledge of financial procedures in local governments	14	DPMU	60
3	Monitoring & Evaluation Expert	Rs.55,000/-	Master's degree in Statistics/ Economics/ Finance/ Management/ Computer Science or relevant field. Min. 5 years' experience in financial/ institutional M&E of institutional development projects	14	DPMU	60
4	Environmental Engineer	Rs.55,000/-	Master's Degree in Civil / Environmental Engineering/ Env. Planning / Natural Resources Management or related field Min. 7 years of experience in the field of environmental activities	14	DPMU	60

5	Social cum mmunications E xpert	CoRs.55,000/- Master's degree in Social Sciences pr eferably Social Work / Sociology / E conomics/ or any other related field.	14	DPMU	60
		Minimum 5 years of experience in so cial development, gender and comm unication			

Table :2

Project Implementation Units in 93 ULBs

- i. Finance Expert / Accounts Officer – An Officer not below the rank of Senior Clerk of the Urban Local Body who is capable of handling financial procedures.
- ii. One or two technical Officer – Officer not below the rank of Assistant Engineer from the LSGD Engineering Wing of the ULB.

In addition to the above, one Solid Waste Management Engineer (SWM) on contract basis to be recruited in a transparent procedure.

Sl No.	Name of Post	Consolidat ed pay	Qualification / Experience	No of p osts	Location	Age limit a s on
1	Solid Waste Ma nagement (SW M) Engineer	Rs.55,000/-	MS/M.Tech in Environmenta l Engineering Minimum of 5 years project management ex perience in Urban infrastru ctu re projects	93	PIU (in participa ting ULBs)	60 01/08/20

Table :3

Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit of KSWMP

Sl No	Name of Post	Qualification	Nature of app ointment	Location	Age Li mit	Salary	No. of P ost
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- 1 Project Head (I PhD in Computer Science a Deputation SPMU 45 As in PD 1
T) nd Post Graduation in Comp
uter Science. A regular empl
oyee in the State having ove
rall 15 years of experience a
nd proven records in project
management and administra
tion in the Government Sect
or. Experience in developme
nt and implementation of IT
projects mandatory
- 2 Project Head (i B Tech with MBA and expe Contract basis SPMU 40 75000/- 1
nfrastructure rience of working in project
Management) s of State Government/Quas
i Organization of State Gove
rnment for a minimum of 5
Years

(By order of the Governor)
Bishwanath Sinha
Principal Secretary

To

The Project Director, Keala Solid Waste Management Project

The Executive Director, Suchitwa Mission

The Principal Director, Local Self Government Department

The Director , LSG(Urban) Department

The Director, LSG(Rural) Department

The Director General, KILA

The Principal Accountant General (A &E) Kerala, Thiruvananthapuram

The Principal Accountant General (G&SSA/E&RSA) Kerala,
Thiruvananthapuram

The Executive Director, Information Kerala Mission

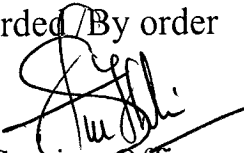
The Director (I&PRD), Web and New Media , Thiruvananthapuram

Finance Department

General Administration (S.C) Department (Item)

Stock file / Office Copy

Forwarded By order



Section Officer



കേരള സർക്കാർ

മന്ത്രിസഭായോഗത്തിന്റെ നടപടിക്കുറിപ്പുകൾ

തീയതി : 06-10-2021

ഫയൽ നം. WM1/57/2021-LSGD.

ഇനം നം: 296

വിഷയം : തദ്ദേശസ്വയംഭരണ വകുപ്പ് - കേരള സോളിഡ് വേസ്റ്റ് മാനേജ്മെന്റ് പ്രോജക്ടിന് കീഴിൽ 14 ജില്ലകളിൽ ജില്ലാ പ്രോജക്ട് മാനേജ്മെന്റ് യൂണിറ്റുകളും (DPMU), 93 നഗര തദ്ദേശസ്ഥാപനങ്ങളിൽ (ULB) പ്രോജക്ട് ഇംപ്ലിമെന്റേഷൻ യൂണിറ്റുകളും (PIU) സ്റ്റേറ്റ് പ്രോജക്ട് മാനേജ്മെന്റ് യൂണിറ്റിൽ പ്രോജക്ട് ഹെഡ് (ഐ.റ്റി.), പ്രോജക്ട് ഹെഡ് (ഇൻഫ്രാസ്ട്രക്ചർ മാനേജ്മെന്റ്) എന്നീ തസ്തികകൾ അനുവദിക്കുന്നതും.

തീരുമാനം : കുറിപ്പിലെ നിർദ്ദേശങ്ങൾ അംഗീകരിച്ചു.

(ഒപ്പ്)

പിണറായി വിജയൻ
മുഖ്യമന്ത്രി

(ശരിപ്പകർപ്പ്)

ഡോ. വി പി ജോയ്
ചീഫ് സെക്രട്ടറി

പ്രിൻസിപ്പൽ സെക്രട്ടറി, തദ്ദേശസ്വയംഭരണ വകുപ്പ്.

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**GOVERNMENT OF KERALA
(SHRI PINARAYI VIJAYAN MINISTRY)
NOTE FOR COUNCIL OF MEMBERS**

1.	File Number	WM1/57/2021-LSGD
2.	Department	Local Self Government (WM) Dept
3.	Subject	Creation of District Project Management Units (DPMUs) in 14 districts, Project Implementation Unit (PIU) in 93 Urban Local Bodies (ULBs) under Kerala Solid Waste Management Project and sanctioning post of Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit of Kerala Solid Waste Management Project.
4.	Date of Chief Minister order for placing before the Council	24.09.21
5.	(i) Does the case involve financial commitments/implications	Yes
	(ii) If the answer to the above is in the affirmative, whether Finance Department has been consulted and their remarks incorporated in the Council Note	Yes
6.	Are any other Departments concerned with the case and if so, have they been consulted and their remarks incorporated in the Note for the Council	No
7.	Name of Special Secretary who submitted the Draft Note	Shri.R.S Kannan
8.	Name of Principal Secretary who approved the Draft Note	Shri.Bishwanath Sinha
9.	Date of Approval of the Draft Note for the Council by the Principal Secretary	4.10.2021
10.	Name of Chief Secretary who approved the Draft Note	Dr.V.P.Joy
11.	Date of approval of the Draft Note for the Council by the Chief Secretary	5.10.2021
12.	Name of Minister who approved the Draft Note	Shri.Govindhan Master
13.	Date of approval of the Draft Note for the Council by the Minister	5.10.2021
14.	Date of submission of fair copies	5.10.2021
15.	Date of decision by the Council of Ministers	06.10.2021
16.	Number and Date of the G.O./ letter communicating the decision.	Go(MS) No: 230/2021/LSGD dt: 07/10/2021

NOTE FOR THE COUNCIL OF MINISTERS

This council note deals with the creation of District Project Management Units (DPMUs) in 14 districts, Project Implementation Unit (PIU) in 93 Urban Local Bodies (ULBs) under Kerala Solid Waste Management Project and sanctioning of post of Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit (SPMU) of Kerala Solid Waste Management Project.

2. As per the G.O(Ms.)No.20/2020/LSGD dated 23.01.2020 Government have accorded sanction for the implementation of World Bank assisted Kerala Urban Service Delivery Project (KUSDP) with objectives for strengthening the institutional capacity of all Urban Local Bodies (ULBs) and to provide additional financial and technical support for waste management and sanitation sector. As per G.O.(Rt.) No.1420/2020/LSGD dated 29/07/2020 the name of the Project i.e. KUSDP has been changed as Kerala Solid Waste Management Project (KSWMP).

3. As per G.O(Ms) No.152/2020/LSGD dated.09/10/2020 Government have constituted a State Project Management Unit (SPMU) for KSWMP, with following composition.

- 1.Finance Management Expert-1no
- 2.Procurement Expert- 1 no
- 3.Environment Expert- 1 no
- 4.Social Development & Gender Expert- 1 no
- 5.Urban Sanitation & Technical Expert- 1 no
- 6.Monitoring & Evaluation Expert- 1 no
- 7.IEC Expert- 1 no
- 8.Finance Assistant- 1 no
- 9.Video Editing & Graphics Design Expert- 1 no
- 10.Data Entry Operator cum Multi task person- 3 nos

4. Government of India has requested the State Government to comply with the readiness criteria before proceeding to the negotiation of the project for availing financial assistance from the World Bank. The Project Director, KSWMP has reported that setting up of District Project Management Units (DPMUs) in 14 districts and constitution of Project Implementation Unit (PIU) in each ULBs are two readiness criteria to be fulfilled prior to the negotiation of the Project for availing the financial assistance for the project

Role of District Project Management Unit (DPMU)

- Co-ordinating, monitoring, supervising and reporting on annual fiscal planning, investment sub-project implementation and TA/capacity building activities at the ULB level.
- The District PMUs will review and submit sub project documents and technical reports to the State PMU for clearance.
- DPMUs will work closely with the District Collectors offices and District Planning Offices in 14 districts of Kerala and can be housed in the existing District Mission offices under Suchitwa Mission.

Role of Project Implementation Unit (PIU) in the Urban Local Bodies-

- Preparing and implementing a 5-year Solid Waste Management plan for the Municipalities, ensuring fiduciary, environmental, social safeguard and all other compliance required under the Project
- Preparation and submission of all project related technical documents, coordinate with the support organization for various IEC activities to sensitize the communities and undertake consultations for wider acceptance of the proposed investments
- Undertake all the activities to obtain government approvals and statutory clearances related to implementation of sub-projects and ESMP activities.

5. The Project Director, KSWMP submitted a proposal to accord sanction for constituting District PMU (DPMU) in 14 districts. The constitution of DPMUs detailing the posts, consolidated pay, qualification and age limit as proposed by Project Director, KSWMP are as follows:

Sl No	Name of Post	Consolidated pay	Qualification / Experience	No of posts	Age limit as on 01/08/20
1	District Co-ordinator / Solid Waste Management (SWM) Engineer	Rs.55,000/-	MS/M.Tech in Civil/ environmental Engineering Minimum of 8 years of project management experience in urban infrastructure projects	14	60
2	Financial Management Expert	Rs.55,000/-	Master's degree in business studies/ business administration with specialization in finance/	14	60

			accounts, or a relevant professional qualification Min.7 years of experience in FM with knowledge of financial procedures in local governments		
3	Monitoring & Evaluation Expert	Rs.55,000/-	Master's degree in Statistics/ Economics/ Finance/ Management /Computer Science or relevant field. Min. 5 years' experience in financial/ institutional M&E of institutional development projects	14	60
4	Environmental Engineer	Rs.55,000/-	Master's Degree in Civil / Environmental Engineering/ Env. Planning / Natural Resources Management or related field Min. 7 years of experience in the field of environmental activities	14	60
5	Social cum Communications Expert	Rs.55,000/-	Master's degree in Social Sciences preferably Social Work / Sociology / Economics/ or any other related field. Minimum 5 years of experience in social development, gender and communication	14	60

6. The constitution of PIUs in the 93 ULBs as proposed by PD, KSWMP is as follows:

- i. Finance Expert / Accounts Officer – An Officer not below the rank of Senior Clerk of the Urban Local Body who is capable of handling financial procedures.

- ii. One or two technical Officer – Officer not below the rank of Assistant Engineer from the LSGD Engineering Wing of the ULB.

In addition to the aforesaid positions one Solid Waste Management Engineer (SWM) in each ULB on a contract basis as detailed below:

Sl.No.	Name of the post	Consolidated pay	Qualification / Experience	No. of post	Location	Age Limit as on 01.08.2020
1	Solid Waste Management (SWM) Engineer	Rs. 55,000/-	MS/M.Tech. in Environmental Engineering Minimum of 5 years project management experience in Urban infrastructure projects	93	PIU	60

7. The Project Director, KSWMP has also submitted a proposal for the creation of posts of Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit for the implementation of KSWMP as detailed below.

Sl No	Name of Post	Qualification	Nature of appointment	Location	Age Limit	Salary	No. of Post
1	Project Head (IT)	PhD in Computer Science and Post Graduation in Computer Science. A regular employee in the State having overall 15 years of experience and proven records in project management and administration in the Government Sector. Experience in development and implementation of IT	Deputation	SPMU	45	As in PD	1

		projects mandatory					
2	Project Head (infrastructure Management)	B Tech with MBA and experience of working in projects of State Government/Quasi Organization of State Government for a minimum of 5 Years	Contract basis	SPMU	40	75000/-	1

8. Finance Department has offered following remarks in this matter.

“Finance agrees to accord sanction for constituting DPMU in 14 districts and PIUs under the Secretaries of participating 93 ULBs and for recruiting personnel to the proposed positions on contract basis for the smooth implementation of Kerala Solid Waste Management Project (KSWMP) (World Bank aided) as detailed in Table below Note#16 ADNF with maximum contract pay as per G.O(P) No. 29/2021/Fin dtd 11.02.2021 for the category XI with a maximum monthly contract pay of Rs. 44,020/- and to sanction 2 posts to SPMU as mentioned in table below Note#27 ADNF (one deputation and one contract) and to sanction with maximum contract pay of Rs.57,525/- as per category XII for the post of Project Head (infrastructure Management) of the SPMU (see No.2 Table below Note #27 ADNF). AD is also requested to take appropriate decision regarding the age limit.”

9. When circulated the file to Hon’ble Chief Minister through the Hon’ble Minister for LSGD, the Hon’ble Chief Minister has ordered to place the matter before the Council of Ministers for decision.

10. when the draft note for council of Ministers was prepared and submitted for approval, the Chief Secretary remarked as follows:

" ജില്ലാ പ്രോജക്ട് മാനേജ്മെന്റ് യൂണിറ്റുകളിൽ കരാർ അടിസ്ഥാനത്തിൽ നിയമിക്കപ്പെടുന്നവർക്ക് 55,000/ രൂപയും സ്റ്റേറ്റ് പ്രോജക്ട് മാനേജ്മെന്റ് യൂണിറ്റിൽ കരാർ അടിസ്ഥാനത്തിൽ നിയമിക്കുന്ന പ്രോജക്ട് ഹെഡ് ഇൻഫ്രാസ്ട്രക്ചർ എന്ന തസ്തികയ്ക്ക് 75,000/- രൂപയും അനുവദിക്കുന്നതിനാണ് കുറിപ്പിൽ ശുപാർശ ചെയ്തിട്ടുള്ളത് എന്നാൽ 2021 ലെ ഒരു ഉത്തരവ് ചൂണ്ടിക്കാണിച്ചുകൊണ്ട് കരാർ വേതനത്തിൽ ധനകാര്യ വകുപ്പ്

ഭേദഗതി നിർദ്ദേശിച്ചിട്ടുണ്ട്. ധനകാര്യ വകുപ്പിന്റെ അഭിപ്രായത്തിനനുസരിച്ച് തീരുമാനിക്കേണ്ട വിഷയത്തിൽ മാറ്റം വരുത്തിയിട്ടുള്ളതായി കാണുന്നില്ല. ആയതിനാൽ കരാർ വേതനം നിശ്ചയിക്കുന്ന കാര്യത്തിൽ ധനകാര്യ വകുപ്പിന്റെ അഭിപ്രായത്തിന്റെ അടിസ്ഥാനത്തിലോ അല്ലെങ്കിൽ ധനകാര്യവകുപ്പിന്റെ അഭിപ്രായം മറികടക്കാൻ ഉദ്ദേശിക്കുന്നുണ്ടെങ്കിൽ അക്കാര്യവും തീരുമാനിക്കേണ്ട വിഷയത്തിൽ രേഖപ്പെടുത്തി കുറിപ്പുകൾ ഭേദഗതി വരുത്തി സമർപ്പിക്കേണ്ടതാണ്.

11) It needs to be noted that the Finance Department G.O(P) No. 29/2021/Fin. Dated 11.02.2021 for the category XI refers to appointment of persons on a temporary basis during urgent circumstances only (“adiyanthra ghattangalil maathram”). The posts mentioned in the category are having qualifications of graduation in their subjects without any work experience. However, the positions proposed to be filled up in DPMUs /PIUs in KSWMP require post graduations in respective subjects with minimum work experience requirements ranging from 5 years to 15 years. There is no equity in positions, qualification & work experience, and nature of assignment. Accordingly, the pay in the G.O. cannot to be equated with the proposed positions at all. As this is a World Bank funded project, the personnel will have a rigorous work schedule which includes coordination with multiple ULBs, documentation and compliance reporting as per World Bank requirements. The duration of the contract is expected to extend to five years. The SPMU requirements which have been completed, have been negatively affected due to low pay offered by the project. The application of the Finance Department’s G.O. will certainly impair the implementation capacity of the project in the long term. Hence, the Finance Department’s remarks may be over ruled. The Original Contract Pay recommended for the DPMU, PIU, Project Head (IM) by the Project Director may be approved by over ruling the opinion of the Finance Department.

Points for decision

1. Whether sanction may be accorded for the constitution of District Project Management Unit (DPMU) in 14 districts under KSWMP as detailed below.

Sl No	Name of Post	Consolidated pay	Qualification / Experience	No of posts	Location	Age limit as on 01/08/20
1	District Co-ordinator /	Rs.55,000/-	MS/M.Tech in Civil/ environmental Engineering	14	DPMU	60

	Solid Waste Management (SWM) Engineer		Minimum of 8 years of project management experience in urban infrastructure projects			
2	Financial Management Expert	Rs.55,000/-	Master's degree in business studies/ business administration with specialization in finance/ accounts, or a relevant professional qualification Min.7 years of experience in FM with knowledge of financial procedures in local governments	14	DPMU	60
3	Monitoring & Evaluation Expert	Rs.55,000/-	Master's degree in Statistics/ Economics/ Finance/ Management /Computer Science or relevant field. Min. 5 years' experience in financial/ institutional M&E of institutional development projects	14	DPMU	60
4	Environmental Engineer	Rs.55,000/-	Master's Degree in Civil / Environmental Engineering/ Env. Planning / Natural Resources Management or related field Min. 7 years of experience in the field of environmental activities	14	DPMU	60
5	Social cum Communications Expert	Rs.55,000/-	Master's degree in Social Sciences preferably Social Work / Sociology / Economics/ or any other related field. Minimum 5 years of experience in social development, gender and communication	14	DPMU	60

2. Whether sanction may be accorded for the constitution of Project Implementation Units in 93 ULBs as detailed below:

- i. Finance Expert / Accounts Officer – An Officer not below the rank of Senior Clerk of the Urban Local Body who is capable of handling financial procedures.
- ii. One or two technical Officer – Officer not below the rank of Assistant Engineer from the LSGD Engineering Wing of the ULB.

In addition to the above, one Solid Waste Management Engineer (SWM) may be recruited on contract basis in a transparent process

Sl.No.	Name of the post	Consolidated pay	Qualification / Experience	No. of post	Location	Age Limit as on 01.08. 2020
1	Solid Waste Management (SWM) Engineer	Rs.55,000/-	MS/M.Tech in Environmental Engineering Minimum of 5 years project management experience in Urban infrastructure projects	93	PIU	60

3. Whether the following two posts (one deputation and one contract) of Project Head (IT) and Project Head (Infrastructure Management) in the State Project Management Unit of KSWMP may be sanctioned?

Sl No	Name of Post	Qualification	Nature of appointment	Location	Age Limit	Salary	No. of Post
1	Project Head (IT)	PhD in Computer Science and Post Graduation in	Deputation	SPMU	45	As in PD	1

		Computer Science. A regular employee in the State having overall 15 years of experience and proven records in project management and administration in the Government Sector. Experience in development and implementation of IT projects mandatory					
2	Project Head (infrastructure Management)	B Tech with MBA and experience of working in projects of State Government/Quasi Organization of State Government for a minimum of 5 Years	Contract basis	SPMU	40	75000/-	1

4. Whether the maximum contract pay for the posts in DPMU , PIU and for the post of Project Head (Infrastructre Management) of the SPMU as per the proposal (1) to (3) above may be allowed by over ruling the opinion of the Finance Department ?

5. Whether the Committee Constituted as per GO(Ms) 152/2020/LSGD dated 09.10.21 and GO(Ms) 160/2020/LSGD dated 21.10.2020 for recruitment of experts in the SPMU may be entrusted for the recruitments as detailed above.